FIN6308 - Regulation of Business and Financial Markets

**FIN 6308** Regulation of Business and Financial Markets (3 semester credit hours) The objective of this course is to develop a student's understanding of the laws and regulations which govern businesses and financial markets. In addition, this course considers the ethical issues that financial analysts and financial planners face. Prerequisite or Corequisite: **FIN 6301**, (3-0) Y