Finance

**FIN 6252** Creating Value through Mergers, Acquisitions and Private Equity (2 semester credit hours)
Executive Education Course. Explores strategic financial management of the firm. Considers creation of value using mergers, acquisitions and private capital. Prerequisites: **FIN 6301** and instructor consent required. (2-0) Y

**FIN 6300** Personal Finance (3 semester credit hours) Examination of personal financial management issues and planning techniques. Provides a consumer-side view of credit management, budgeting, personal financial statement analysis, insurance planning, retirement planning, investment planning, asset accumulation and distribution planning, tax planning, estate planning and employee benefits planning. May not be used to fulfill requirements for Master of Science in Finance degree. (3-0) Y

**FIN 6301** *(SYSM 6312)* Financial Management (3 semester credit hours) Develops the basic concepts of finance with particular attention to their application to the financial management of companies. Prerequisites or Corequisites: **OPRE 6301** and *(ACCT 6201 or ACCT 6305)*. (3-0) S

**FIN 6306** Quantitative Methods in Finance (3 semester credit hours) The objective of this course is to develop students' ability to use quantitative methods in financial decision-making. Particular attention is paid to the quantitative topics covered in the Chartered Financial Analyst (CFA) and Financial Risk Manager (FRM) exams. Prerequisites: **FIN 6301** and *(OPRE 6303 or equivalent)*. (3-0) S

**FIN 6308** Regulation of Business and Financial Markets (3 semester credit hours) The objective of this course is to develop a student's understanding of the laws and regulations which govern businesses and financial markets. In addition, this course considers the ethical issues that financial analysts and financial planners face. Prerequisite or Corequisite: **FIN 6301**. (3-0) Y

**FIN 6310** Investment Management (3 semester credit hours) The course is intended to provide an understanding of the role of modern financial theory in portfolio management and to present a framework for addressing current issues in the management of financial assets. Topics to be covered during the semester include trading, valuation, active portfolio management, asset allocation, global diversification, performance measurement, financial derivatives and fixed income securities. Prerequisite: **FIN 6301**. Prerequisite or Corequisite: **FIN 6306**. (3-0) S

**FIN 6311** *(ENTP 6311)* Valuation Models and Practices (3 semester credit hours) This course examines different models and practices for valuing firms (both public and private), pricing IPOs, and valuing and structuring mergers and acquisitions. Prerequisite: **FIN 6301**. (3-0) S

**FIN 6314** Fixed Income Securities (3 semester credit hours) Examines fixed income securities, their derivatives, and the management of fixed income portfolios. Prerequisite: **FIN 6306**. (3-0) Y

**FIN 6315** *(ENTP 6315)* Entrepreneurial Finance (3 semester credit hours) The objective of this course is to build skills and knowledge in the financing of entrepreneurial ventures. Entrepreneurial Finance concerns not only the process of financing and investing in start-up companies, but also the changes to the initial financing mix that may be required as start-up companies mature and grow. Topics include: valuation, capital structure, forecasting, the markets for venture capital and private equity, the decision to go public or remain private, alternative financing arrangements, and the differential marketability and liquidity of the
securities used to finance non-public firms. Credit cannot be received for both courses, ENTP 6315 and FIN 6315. Prerequisite: FIN 6301. (3-0) Y

**FIN 6316 (ENTP 6316)** Private Equity Finance (3 semester credit hours) This course will cover the investment of capital in the equity of private companies to fund growth or in public companies to take them private. This course includes the study of a broad spectrum of private equity investments, investing in established private firms, buyouts, financial restructuring of distressed firms, and private equity financing by public firms. Prerequisite: FIN 6311 or ENTP 6311 or instructor consent required. (3-0) Y

**FIN 6320** Financial Markets and Institutions (3 semester credit hours) Financial behavior in relation to production and consumption decisions. Banking, financial intermediation, flows of funds, regulation and structure of financial markets. Selected topics of current interest. Prerequisite: FIN 6301 or MECO 6303. (3-0) R

**FIN 6321 (REAL 6321)** Introduction to Real Estate (3 semester credit hours) Overview of various aspects of real estate markets, including marketing, finance, taxation, development, law, appraisal, investment, valuation and real estate participants. (3-0) S

**FIN 6322 (REAL 6322)** Real Estate Finance and Investment (3 semester credit hours) This course covers commercial real estate investment analysis and instruments used in its finance. Topics include: real estate valuation, loan structures, syndication, securitization, and developments in capital markets affecting real estate developments. Prerequisite: FIN 6301. (3-0) R

**FIN 6323 (REAL 6323)** Real Estate Market Analysis and Investment (3 semester credit hours) This course provides insight into market analysis and research including local and economic base analysis with case studies on specific commercial investment property types. This course also applies modern technologies to assist in performing these analyses. Prerequisite or Corequisite: FIN 6321 or REAL 6321 or FIN 6322 or REAL 6322. (3-0) R

**FIN 6324 (REAL 6324)** Real Estate Development (3 semester credit hours) An in depth course covering issues faced in the development process including market analysis, government approvals, financing and risk assessment. Prerequisite: FIN 6321 or FIN 6322 or REAL 6321 or REAL 6322. (3-0) R

**FIN 6325** Macroeconomics and Financial Markets (3 semester credit hours) This course examines the relationship between macroeconomics and financial markets, and how they influence one another. Prerequisite: FIN 6301 or instructor consent required. (3-0) Y

**FIN 6326 (REAL 6326)** Real Estate Law and Contracts (3 semester credit hours) Study of the legal principles governing real estate transactions, with an emphasis on promulgated contracts. Topics include contract law, tax law, leases, estates in land, types of ownership, deeds, mortgages, title insurance, agency and homestead. Prerequisite or Corequisite: FIN 6321 or REAL 6321 or FIN 6322 or REAL 6322. (3-0) R

**FIN 6328 (REAL 6328)** Real Estate Valuation (3 semester credit hours) This capstone real estate course provides an in-depth study, application and evaluation of the theory and methods of residential and commercial property valuation and appraisal. Topics include the three major approaches to appraising real estate, regression analysis, market analysis, highest and best use analysis and capitalization techniques, with an emphasis on income properties. Several cases and problems are presented and solved. Prerequisite: FIN 6321 or FIN 6322 or REAL 6321 or REAL 6322. (3-0) R

**FIN 6330** Behavioral Finance (3 semester credit hours) This course describes how individuals and firms

https://catalog.utdallas.edu/2014/graduate/courses/fin
make financial decisions, how those decisions might deviate from those predicted by traditional financial or economic theory and the consequences of these deviations for financial markets. The course examines how the insights of behavioral finance complement the traditional finance paradigm. Students will gain an understanding of how individuals actually make financial decisions (descriptive) and guidance on how to improve financial decision-making (prescriptive) in themselves and others. Prerequisite: FIN 6301 or instructor consent required. (3-0) T

**FIN 6335** Energy Finance (3 semester credit hours) This course focuses on the issues associated with investing in and financing energy projects as well as managing energy risks. Case studies are drawn from the oil, natural gas, electricity and renewables sectors. Prerequisite: FIN 6301. (3-0) R

**FIN 6340** Management of Financial Institutions (3 semester credit hours) Study of the financial management of commercial banks and other financial intermediaries, with special attention to risk management issues. Prerequisite: FIN 6325 or instructor consent required. (3-0) Y

**FIN 6341** Energy Risk Management (3 semester credit hours) This course focuses on the special issues associated with energy risk management, from hedging oil price risk to weather risk. Prerequisite: FIN 6301 or FIN 6381 or instructor consent required. (3-0) R

**FIN 6342** Insurance and Risk Management (3 semester credit hours) Study of risk management techniques for individuals and enterprises with an emphasis on insurance. Topics include life insurance, property and casualty insurance, liability insurance, disability insurance, health insurance, employer-based group insurance, long-term care insurance, worker's compensation, and governmental benefit programs. Prerequisite: FIN 6301. (3-0) R

**FIN 6350** Advanced Financial Management (3 semester credit hours) Advanced analysis of topics in financial management. Capital structure, dividend policy, incentives, and risk management. Prerequisite: FIN 6301. (3-0) S

**FIN 6351** Strategic Financial Management and Valuation II (3 semester credit hours) Executive Education Course. This is a second level finance course stressing the linkages of corporate strategy, financial strategy and market valuation. Different methodologies of valuation will be covered. Department consent required. (3-0) Y

**FIN 6352** Financial Modeling (3 semester credit hours) This course focuses on financial modeling using computer software (spreadsheet, etc.) and their uses in financial decision making. Prerequisite: FIN 6301. (3-0) Y

**FIN 6355** Corporate Finance and Policy (3 semester credit hours) Cases involving financial situations encountered by managers that require the application of financial management skills. Special emphasis is placed on strategy. Prerequisite or Corequisite: FIN 6350. (3-0) R

**FIN 6356** Mergers and Acquisitions (3 semester credit hours) Examines mergers and acquisitions paying particular attention to how they are structured, valued, and financed. Prerequisite: FIN 6311 or instructor consent required. (3-0) R

**FIN 6357** Corporate Restructuring and Turnarounds (3 semester credit hours) Examines the issues and strategies associated with restructuring a corporation to turn it around, either when in distress or in bankruptcy. Prerequisite: FIN 6311 or instructor consent required. (3-0) T

**FIN 6360** Options and Futures Markets (3 semester credit hours) Examines the valuation of derivative
securities such as options and futures contracts, as well as the use of these instruments in managing business and financial risks. The topics to be covered include pricing of futures contracts, swaps, and options, the use of derivative instruments in hedging, portfolio insurance, exotic options, and the valuation of options on debt instruments. Prerequisite: **FIN 6306.** (3-0) Y

**FIN 6364** Advanced Investment Management (3 semester credit hours) This course builds on the basic ideas underlying portfolio optimization covered in **FIN 6301** and **FIN 6310.** It emphasizes the application of modern portfolio theory using quantitative methods. At the completion of this course, students will be able to analyze market data using the latest investment management tools, to formulate theoretical models, and to implement appropriate investment strategies. Prerequisite: **FIN 6310.** (3-0) T

**FIN 6366** International Financial Management (3 semester credit hours) Study of world financial markets and institutions, foreign exchange exposure and management, foreign direct investment, and a variety of issues involved in the financial management of multinational firms. Credit cannot be received for both courses, **FIN 6336** and **IMS 6320.** Note: **IMS 6320** may not be used to fulfill degree requirements for MS in Finance. Prerequisite: **FIN 6301.** (3-0) T

**FIN 6368** Financial Information and Trading (3 semester credit hours) This course examines the different sources of financial data and their use in investment analysis and trading. The course also examines the market structure of different security markets and their implications for the pricing of securities. Prerequisite: **FIN 6306.** (3-0) T

**FIN 6370** The Theory of Finance and Its Applications (3 semester credit hours) A survey of financial theories and their application to various financial decisions and issues. Topics will include the theory of portfolio choices, asset pricing, derivative pricing, asymmetric information theories, and firm financing issues. Prerequisite: **FIN 6310 or FIN 6350** or instructor consent required. (3-0) Y

**FIN 6380** Global Fund Management (3 semester credit hours) For students involved in the practice of managing a fund of global investments. May be repeated for credit (6 semester credit hours maximum). Prerequisites: **FIN 6310** and instructor consent required. (3-0) S

**FIN 6381** Introductory Mathematical Finance (3 semester credit hours) Introduction to the mathematical methods of continuous time finance (Ito calculus, stochastic dynamic optimization, etc.). Prerequisite: **OPR E 7310** or instructor consent required. (3-0) T

**FIN 6382** Numerical Methods in Finance (3 semester credit hours) Introduction to the use of numerical methods in various financial applications. Prerequisite: **FIN 6360 or FIN 6381** or instructor consent required. (3-0) R

**FIN 6383** Financial Risk Management (3 semester credit hours) Study of financial risk management and its applications. Prerequisite: **FIN 6360 or FIN 6381** or instructor consent required. (3-0) R

**FIN 6V98** Finance Internship (1-3 semester credit hours) Student gains experience and improves skills through appropriate developmental work assignments in a real business environment. Student must identify and submit specific business learning objectives at the beginning of the semester. The student must demonstrate exposure to the managerial perspective via involvement or observation. Pass/Fail only. May be repeated for credit as topics vary (3 semester credit hours maximum). JSOM Internship Coordinator consent required. ([1-3]-0) S

**FIN 6V99** Special Topics in Finance (1-4 semester credit hours) May be lecture, readings, or individualized
study. May be repeated for credit as topics vary. Instructor consent required. ([1-4]-0) S

**FIN 7310** Seminar in Contemporary Finance (3 semester credit hours) Issues in current financial research. May be repeated for credit as topics vary. Instructor consent required. (3-0) T

**FIN 7330** Topics in Theoretical Asset Pricing (3 semester credit hours) Advanced studies in the theory of asset pricing. Provides a foundation for advanced research in financial theory and empirical tests of asset pricing models. Topics include utility theory, mean-variance portfolio analysis, state preference models, continuous time portfolio selection, and the term structure of interest rates. May be repeated for credit as topics vary. Prerequisites: **MECO 6345** or equivalent and instructor consent required. (3-0) T

**FIN 7335** Topics in Empirical Asset Pricing (3 semester credit hours) Study of the methods used to empirically test asset pricing theories and/or models. May be repeated for credit as topics vary. Prerequisite or Corequisite: **FIN 7330** and instructor consent required. (3-0) T

**FIN 7340** Topics in Theoretical Corporate Finance (3 semester credit hours) Empirical and theoretical analysis of corporate financial decision-making. Topics include the theory of the firm, initial public offerings, ownership and control, managerial incentives, risk management, and financing and investment decisions. May be repeated for credit as topics vary. Prerequisites: **MECO 6345** or equivalent and instructor consent required. (3-0) T

**FIN 7345** Topics in Empirical Corporate Finance (3 semester credit hours) Study of the methods used to empirically test corporate finance theories and/or models. May be repeated for credit as topics vary. Prerequisite or Corequisite: **FIN 7340** or instructor consent required. (3-0) T

**FIN 7375** Finance Workshop (3 semester credit hours) Forum for faculty and students to present recent developments in the finance literature. Presentation and discussion of published and unpublished papers of researchers with various affiliations. May be repeated for credit as topics vary. Instructor consent required. (3-0) T