Finance

FIN 3100 Professional Development (1 semester credit hour) This course is required for all students majoring in Finance in the Naveen Jindal School of Management. This course is designed to enhance the student's experience in the Naveen Jindal School of Management. Students will work on networking skills, verbal and written communication skills, business etiquette training, and learn how to increase their human capital. Students will also work on projects geared towards career management and overall professional development as a business major. The goal of this class is to make the student a more marketable and valuable professional to the global economy. Credit cannot be received for more than one of the following: ACCT 3100, BA 3100, BCOM 3100, FIN 3100, HMG 3100, IMS 3100, ITSS 3100, MKT 3100 or OPRE 3100. (1-0) S

FIN 3300 Personal Finance (3 semester credit hours) This course is designed to help students prepare for a financially challenging world and to introduce the concepts and methods of personal financial planning. The financial planning process, the time value of money, taxation, credit, budgeting, housing, insurance, and employee benefits will be explored. The course will enable students to manage their finances and develop their own personal financial plans. Available to all majors except Accounting and Finance majors, for which FIN 3330 is available. (3-0) S

FIN 3305 Real Estate Principles (3 semester credit hours) Survey of various aspects of the real estate business and economics, including marketing, finance, taxation, investment, development, law, appraisal, and valuation. (Same as REAL 3305) (3-0) S

FIN 3320 Business Finance (3 semester credit hours) Introduction to financial decision making and the valuation of business enterprises, with a particularly focus on the use of discounted cash flow techniques in the selection of capital investment projects. Prerequisites: ACCT 2301 and (MATH 1326 or MATH 2414 or MATH 2419) and (MATH 2333 or MATH 2415 or MATH 2418 or CS 2305 or OPRE 3333). Prerequisite or Corequisite: (STAT 3360 or OPRE 3360). (3-0) S

FIN 3330 Personal Financial Planning (3 semester credit hours) Introduction to personal financial planning concepts and applications. Topics include insurance planning, retirement planning, investment planning, asset accumulation and distribution planning, tax planning, estate planning and employee benefit planning. Open only to students majoring in either finance or accounting. Prerequisites: ACCT 2301 and (MATH 1326 or MATH 2414 or MATH 2419) and (MATH 2333 or MATH 2415 or MATH 2418 or CS 2305 or OPRE 3333). Prerequisite or Corequisite: (OPRE 3360 or STAT 3360). (3-0) S

FIN 3340 Regulation of Business and Financial Markets (3 semester credit hours) Examines the legal and regulatory environment of business and financial markets. Comparisons between the impact of laws and their original intent are considered, as well as their ethical dimensions. Prerequisite or Corequisite: FIN 3320. (3-0) S

FIN 3350 Macroeconomics and Financial Markets (3 semester credit hours) Examines the operation interaction between the macroeconomy and financial markets, with attention to the operation of financial markets and financial intermediaries. Topics covered include the banking system, macroeconomic policy, and the market for foreign exchange. Prerequisite or Corequisite: ECON 2301. (3-0) Y
FIN 3360 Entrepreneurial Finance (3 semester credit hours) Explores the process of raising capital and managing financial resources in entrepreneurial ventures. Focus on forecasting cash flows, cash flow management, capital budgeting, valuation, capital structure and the various financing methods and mechanisms available to entrepreneurs (bootstrapping, angel investors, venture capitalists, IPOs) seeking to raise capital for a new venture. Prerequisite: FIN 3320. (Same as ENTP 3360) (3-0) Y

FIN 3365 Real Estate Finance and Principles (3 semester credit hours) Survey of the institutions in real estate finance and factors affecting the flow of funds; investment analysis and procedures involved in real estate financing. Prerequisite: FIN 3320. (Same as REAL 3365) (3-0) S

FIN 3370 Principles of Risk Management and Insurance (3 semester credit hours) Introduction to fundamental risk management and insurance principles as essential components of global business operations and personal risk management. Topics include risk identification, risk analysis, global risk exposures, insurance company operations, legal principles, loss prevention and safety concepts, and the social and economic relevance of risk management and insurance. Prerequisite: (MATH 2333 or OPRE 3333). Corequisite: (STAT 3360 or OPRE 3360). (Same as RMIS 3370) (3-0) S

FIN 3380 International Financial Management (3 semester credit hours) Study of world financial markets and institutions, foreign exchange exposure and management, foreign direct investment, and issues of financial management of multinational firms. Prerequisite: FIN 3320. (3-0) Y

FIN 3390 Introduction to Financial Modeling (3 semester credit hours) Develops the ability to use quantitative methods and software (particularly spreadsheet) for financial decision making. Prerequisites: (MATH 2333 or MATH 2415 or MATH 2418 or CS 2305 or OPRE 3333) and (STAT 3360 or OPRE 3360) and FIN 3320 and ITSS 3300. (3-0) S

FIN 4080 Practicum in Finance (0 semester credit hours) For students engaged in the practice of financial analysis or management. Credit/No Credit only. Undergraduate program director consent required. (0-0) S

FIN 4300 Investment Management (3 semester credit hours) Examines a wide range of issues concerning management of investments and so provides an understanding of the role of modern financial theory in pricing financial assets and managing portfolios. Prerequisite: FIN 3320. Prerequisite or Corequisite: FIN 3390. (3-0) S

FIN 4310 Intermediate Business Finance (3 semester credit hours) Builds on FIN 3320 to develop additional topics in business financial decision making. It integrates a variety of advanced topics in developing a firm’s financial strategy. Prerequisite: FIN 3320. Prerequisite or Corequisite: FIN 3390. (3-0) S

FIN 4313 Energy Finance (3 semester credit hours) Builds on foundations of business finance to develop a methodology for evaluating energy-related investment decisions. Particular emphasis is put on decisions under regulatory and market uncertainty, technology-facilitated substitutability, resource depletion, and real options analysis. Case studies are drawn from the oil, natural gas, electricity, and renewables sectors. Prerequisite: FIN 3320. (Same as ENGY 4313) (3-0) Y

FIN 4315 Behavioral Finance (3 semester credit hours) This course introduces students to behavioral models of decision making with particular attention to finance decision making. These models incorporate the psychological biases, social objectives or bounds to rationality that human decision makers are known to exhibit. The course will also discuss ways in which policies or markets can be designed to take
advantage of individuals' psychological biases to achieve desirable outcomes. Examples of applications
include investment decisions, retirement savings, and insurance markets, among others. Prerequisites: FIN
3320 and FIN 3330. (3-0) R

FIN 4320 Management of Financial Institutions (3 semester credit hours) Study of the financial
management of commercial banks and other financial intermediaries, with an emphasis on the analysis of
financial performance, lending decisions, asset-liability management, and the management of institutional
capital requirements. Strategic considerations such as evolving information technology, the changing
regulatory environment and the impact of global competition in financial services will also be examined.
Prerequisite: FIN 3350. (3-0) T

FIN 4321 Real Estate Law and Contracts (3 semester credit hours) Study of the legal principles governing
real estate transactions with an emphasis on promulgated contracts. Topics include contract law, estates in
land, forms of ownership, deeds, mortgages, title insurance, agency and homestead. Prerequisite or
Corequisite: FIN 3305 or REAL 3305. (Same as REAL 4321) (3-0) Y

FIN 4328 Real Estate Valuation (3 semester credit hours) This capstone real estate course provides the
theory and methods of residential and income property valuation and appraisal. Topics include the three
major approaches to appraising real estate, regression analysis, real estate market analysis, highest and
best use analysis and capitalization techniques. Income property valuation techniques are emphasized.
Several cases and problems are presented and solved. Prerequisites: (REAL 3305 or FIN 3305) or (REAL 33
65 or FIN 3365) and FIN 3320. (Same as REAL 4328) (3-0) Y

FIN 4330 Estate Planning (3 semester credit hours) Analysis of the estate and gift tax system, including
planning strategies. It addresses the transfer, administration, and taxation of property within the estate
planning context. Topics include transfers of property outright or with trusts, wills, and powers of
appointment; use of the marital deduction; valuation of assets; and buy-sell agreements. Prerequisite: FIN
3330. (3-0) R

FIN 4331 Business Liability Risk Management and Insurance (3 semester credit hours) Business liability
exposures to risk and losses arising from negligence and/or other legal doctrines are presented, with
emphasis on risk management and insurance as an essential component of a business' enterprise risk
management program. Risk assessment, loss prevention, and treatment of risk are presented in the areas
of general liability, business auto, worker's compensation, cyber risk, and management and professional
liability. Prerequisite: FIN 3330 or RMIS 3370. (Same as RMIS 4331) (3-0) Y

FIN 4332 Commercial Property Risk Management and Insurance (3 semester credit hours) Commercial
property losses arising from natural and man-made exposures are presented, with emphasis on risk
management and insurance as an essential component of a business' enterprise risk management
program. Risk assessment, loss prevention, and treatment of risk are presented in the areas of commercial
property, loss of business income, inland marine, cyber risk, equipment breakdown, and flood and
earthquake. Prerequisite: FIN 3330 or RMIS 3370. (Same as RMIS 4332) (3-0) Y

FIN 4333 Business Risk Management (3 semester credit hours) Study of the risks and exposures to loss
affecting businesses and non-profit entities: including pure, financial, operational, and strategic risk. Data
collection, analysis, and evaluation methods are presented. Risk management program objectives, goals,
and management are presented along with the tools for identification, treatment, and financing of risk. Prerequisite: **FIN 3320** and **RMIS 3370**. (Same as **RMIS 4333**) (3-0) Y

**FIN 4334** Insurance Law and Contracts (3 semester credit hours) A basic course in the fundamentals of insurance law. Topics covered include: defining insurance; risk and the nature of the insurance relationship; insurable interests; indemnity; fortuity; subrogation; coordination of benefits; interpretation of policies; rights at variance with policy provisions; contract formation; warranties, misrepresentation and concealment; conditions; agents and brokers; insurance regulation; and introduction to insurance coverage. Prerequisite: **FIN 3330** or **RMIS 3370**. (Same as **RMIS 4334**) (3-0) Y

**FIN 4335** Financial Aspects of Retirement and Employee Benefits (3 semester credit hours) Focuses on business and individual retirement plans, planning strategies to meet individual and client goals as well as retirement distribution strategies. Students will evaluate employer and non-employer benefit plans and use financial planning software. Prerequisite: **FIN 3330**. (3-0) Y

**FIN 4337** Business Valuation (3 semester credit hours) Models used to value businesses and stocks are studied and applied. Topics include income measurement and profitability assessment, analysis of discounted cash flows and accounting-based valuation models. Prerequisites: **ACCT 3331** with a minimum grade of C and **FIN 3320**. (Same as **ACCT 4337**) (3-0) Y

**FIN 4340** Options and Futures Markets (3 semester credit hours) Examines valuation of derivative securities, such as options and futures contracts, and the use of these instruments in managing business and financial risks. Topics include pricing of futures contracts, swaps, and options, and use of derivative instruments in hedging, portfolio insurance, and exotic options. Prerequisite: **FIN 4300** or **FIN 4310**. (3-0) Y

**FIN 4345** Financial Information and Analysis (3 semester credit hours) This course is focused on how to manage and analyze financial data. In doing so, this course examines the sources and uses of financial information in valuing securities and analyzing markets. Prerequisite: **FIN 3390**. (3-0) Y

**FIN 4350** Cases in Personal Financial Planning (3 semester credit hours) Emphasizes critical thinking and decision making on financial management topics within the context of the personal financial planning process. The purpose of this course is to refine and develop skills needed for personal financial planners when working with individuals, families, and business owners to meet financial needs and objectives. Prerequisite or Corequisite: **FIN 3370** or instructor consent required. (3-0) R

**FIN 4380** Domestic Fund Management (3 semester credit hours) For students involved in the practice of investment management for the university. May be repeated for credit (6 semester credit hours maximum). Prerequisites: **FIN 4300** and instructor consent required. (3-0) R

**FIN 4390** Seminar Series in Finance (3 semester credit hours) Examination of selected financial topics. May be repeated for credit as topics vary (6 semester credit hours maximum). Instructor consent required. (3-0) R

**FIN 4399** Senior Honors in Finance (3 semester credit hours) For students conducting independent research for honors theses or projects. Requires faculty sponsor approval. (3-0) R
FIN 4V80 Practicum in Finance (1-3 semester credit hours) For students engaged in the practice of financial analysis or management. Credit/No Credit only. May be repeated for credit (3 semester credit hours maximum). Undergraduate program director consent required. ([1-3]-0) R

FIN 4V90 Individual Study in Finance (1-3 semester credit hours) For students interested in pursuing further study of a topic in finance. May be repeated for credit (3 semester credit hours maximum). Prerequisites: (FIN 4300 or FIN 4310) and faculty sponsor approval. ([1-3]-0) R